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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Ravindra K. Shetty et al.

Title: SYSTEM AND METHOD FOR BUSINESS GOAL-OPTIMIZATION WHEN CUSTOMER DEMAND CANNOT BE SATISFIED

Docket No.: H00-02099 (256.101US1)

Serial No.: 09/893,091

Filed: June 27, 2001

Due Date: N/A

Examiner: Unknown

Group Art Unit: 2163

Commissioner for Patents  
Washington, D.C. 20231

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We are transmitting herewith the following attached items (as indicated with an "X"):

- ☒ A return postcard.
- ☒ An Information Disclosure Statement (1 pg.), Form 1449 (1 pg.), and copies of 4 cited references.

Please consider this a **PETITION FOR EXTENSION OF TIME** for sufficient number of months to enter these papers and please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
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By: Bradley A. Forrest  
Atty: Bradley A. Forrest  
Reg. No. 30,837

**CERTIFICATE UNDER 37 CFR 1.8:** The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on this 10 day of October, 2002.

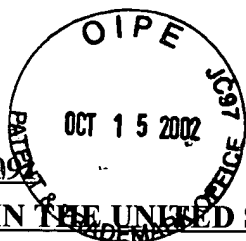
Anne M. Richards  
Name

Anne M. Richards  
Signature

**Customer Number 000128**

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(GENERAL)



S/N 09/893,091

PATENT

10/31/02  
PA  
#2IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ravindra K. Shetty et al. Examiner: Unknown  
Serial No.: 09/893,091 Group Art Unit: 2163  
Filed: June 27, 2001 Docket: H0002099 (256.101US1)  
Title: SYSTEM AND METHOD FOR BUSINESS GOAL-OPTIMIZATION WHEN  
CUSTOMER DEMAND CANNOT BE SATISFIED

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

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In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. § 1.97(b), it is believed that no fee or statement is required with the Information Disclosure Statement. However, if an Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the required fees to Account No. 19-0743 in order to have this Information Disclosure Statement considered.

The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,  
RAVINDRA K. SHETTY ET AL.  
By their Representatives,  
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Date 10-10-2002

By

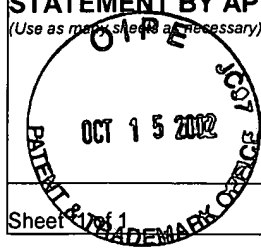
Bradley A. Forrest  
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Anne M. Richards  
Name

Signature

Substitute for form 1449A/PTO  
**INFORMATION DISCLOSURE  
 STATEMENT BY APPLICANT**  
 (Use as many sheets as necessary)



<i>Complete if Known</i>	
<b>Application Number</b>	09/893091
<b>Filing Date</b>	June 27, 2001
<b>First Named Inventor</b>	Shetty, Ravindra
<b>Group Art Unit</b>	2163
<b>Examiner Name</b>	Unknown
Attorney Docket No: H0002099 (256.101US1)	

US PATENT DOCUMENTS						
Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
	US-5,963,910	10/05/1999	Ulwick, Anthony W.	705	7	09/20/1996
	US-6,112,126	08/29/2000	Hales, Lynn B., et al	700	29	02/20/1998
	US-6,314,361	11/06/2001	Yu, Gang, et al	701	120	07/30/1999

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T <sup>2</sup>

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		TAHA, H. A. , <u>Operations Research, An Introduction</u> , Prentice Hall, Upper Saddle River, New Jersey, ISBN, 0132729156,(1997),pp.195-202	

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